SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Last)       (First)       (Middle)       4. Relationship of Reporting Person(s) to Issuer (Check all applicable)       5. (Marther det all of det applicable)       6. (MontryDay/Year)         18 COMMERCE WAY       01801       Director       10% Owner       Chief Medical Officer       0.0% Owner       6. (MontryDay/Year)       Findividual or Joint/Day/Year)         (Street)       VOBURN       MA       01801       0.0% Owner       Other (specify the det all opplicable)       Chief Medical Officer       X. Form filed by One Reporting Person         (Give (it)       (Street)       (Street)       Chief Medical Officer       S. Montro of Securities       S. Ownership       S. Ownership       Somership       Somers	1. Name and Address of Reporting Person <sup>*</sup> Kaufman Howard			2. Date of Event Requiring Statement (Month/Day/Year) 07/19/2018 3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Replimune Group, Inc.</u> [ REPL ]								
$\begin{tabular}{ c c c c c c c c c c c c c c c c c c c$	l · · ·					(Check all applicable)		( )	()			
$\begin{tabular}{ c c c c c c c } \hline \hline WOBURN & MA & 01801 \\ \hline $						x					t/Group Filing (Check	
City (State) (Zip)         Table I - Non-Derivative Securities Beneficially Owned         1. Title of Security (Instr. 4)       2. Amount of Securities Beneficially Owned (Instr. 4)       3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)       4. Nature of Indirect Beneficial Ownership (Instr. 5)         Table II - Derivative Securities Beneficially Owned (Instr. 4)       3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)       6. Nature of Indirect Beneficial Ownership (Instr. 5)         Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrst, options, convertible securities         1. Title of Derivative Security (Instr. 4)       2. Date Exercisable and Expiration Date (Month/Day/Year)       3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)       5. Ownership Ownership Price of Perivative Security (Instr. 4)       6. Nature of Indirect Beneficial Ownership Price of Perivative Security (Instr. 4)       5. Ownership Ownership Price of Perivative Security (Instr. 4)       6. Nature of Indirect Beneficial Ownership Price of Perivative Security (Instr. 5)       6. Nature of Indirect Beneficial Ownership Price of Perivative Security (Instr. 5)       6. Nature of Indirect (I) (Instr. 5)         Date Expiration Date (Month/Day/Year)       Amount of Security Shares       5. Ownership Price of Perivative Security Price of Shares       6. Nature of Indirect (I) (Instr. 5)	· · ·		01801				Chief Medical O	officer		Form filed by More than One		
1. Title of Security (Instr. 4)       2. Amount of Securities Beneficially Owned (Instr. 4)       3. Ownership Form: Direct (D) or Indirect (D) (Instr. 5)       4. Nature of Indirect Beneficial Ownership (Instr. 5)         1. Title of Derivative Security (Instr. 4)       2. Date Exercisable and Expiration Date (Month/Day/Year)       3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)       4. Nature of Indirect Beneficial Ownership (Instr. 5)         1. Title of Derivative Security (Instr. 4)       2. Date Exercisable and Expiration Date (Month/Day/Year)       3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)       5. Ownership of Exercisable Date       6. Nature of Indirect Beneficial Ownership (Instr. 5)         1. Title of Derivative Security (Instr. 4)       2. Date Exercisable and Expiration Date (Month/Day/Year)       3. Title and Amount of Securities Underlying Derivative Security       4. Ownership of Exercise of Shares       5. Ownership Direct (D) or Indirect (I) (Instr. 5)       6. Nature of Indirect Beneficial Ownership (Instr. 5)	(City)	(State)	(Zip)							Reporting 1		
Beneficially Owned (Instr. 4)       Form: Direct (D) or Indirect (I) (Instr. 5)       (Instr. 5)         Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)       0         1. Title of Derivative Security (Instr. 4)       2. Date Exercisable and Expiration Date (Month/Day/Vear)       3. Title and Amount of Security (Instr. 4)       4. Conversion or Exercise Price of Derivative Security (Instr. 5)       6. Nature of Indirect Beneficial Ownership Form: Direct (D) or Indirect (I) (Instr. 5)         1. Title of Derivative Security (Instr. 4)       2. Date Exercisable and Expiration Date       3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)       4. Conversion or Exercise Price of Derivative Security (Instr. 5)       5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)         Date       Expiration Date       Title       Title       Amount or Security of Shares       5. Ownership (Instr. 5)       6. Nature of Indirect (I) (Instr. 5)	Table I - Non-Derivative Securities Beneficially Owned											
(e.g., puts, calls, warrants, options, convertible securities)         1. Title of Derivative Security (Instr. 4) <ul> <li></li></ul>	1. Title of Security (Instr. 4)						Beneficially Owned (Instr. 4) Form: Direct (D) or Indirect (I)		rt (D)   (In			
Expiration Date (Month/Day/Year)     Underlying Derivative Security (Instr. 4)     Conversion or Exercise Price of Derivative Security     Ownership Form: Direct (D) or Indirect (I) (Instr. 5)     Beneficial Ownership (Instr. 5)       Date Expiration     Expiration Date Exercisable     Expiration Date     Title     Amount or Shares     Ownership or Exercise Price of Shares     Ownership Form: Direct (D) or Indirect (I) (Instr. 5)     Beneficial Ownership (Instr. 5)												
Date     Expiration       Date     Expiration       Date     Title	1. Title of Derivative Security (Instr. 4)			Expiration Date					Conversion or Exercise	on Ownership se Form:	Beneficial Ownership	
Employee Stock Option (Right to Buy)     (1)     09/01/2027     Common Stock     149,202     3.3     D								or Number of	Derivative	e or Indirect		
	Employee Sto	ck Option (Rig	ht to Buy)	(1)	09/01/2027	7	Common Stock	149,202	3.3	D		

Explanation of Responses:

1. 25% of the shares underlying this stock option vest on September 1, 2018 and the remainder of the shares underlying this stock option vest in 36 equal monthly installments thereafter.

Exhibit List Exhibit 24 - Power of Attorney

/s/Robert Coffin, as Attorneyin-Fact 07

<u>07/19/2018</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

## **POWER OF ATTORNEY**

**KNOW ALL BY THESE PRESENTS**, that the undersigned hereby constitutes and appoints each of Robert Coffin and Philip Astley-Sparke, signing singly, as his true and lawful attorney-in-fact to:

(1) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer of Replimune Group, Inc. (the "Company"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder and any other forms or reports the undersigned may be required to file in connection with the undersigned's ownership, acquisition, or disposition of securities of the Company;

(2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4, or 5, or other form or report, and timely file such form or report with the U.S. Securities and Exchange Commission and any stock exchange or similar authority; and

(3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorneys-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorneys-in-fact on behalf of the undersigned, pursuant to this Power of Attorney, shall be in such form and shall contain such terms and conditions as such attorneys-in-fact may approve in his discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform each and every act and thing whatsoever requisite, necessary, and proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorneys-in-fact, or his substitute or substitutes, shall lawfully do or cause to be done by virtue of this Power of Attorney and the rights and powers herein granted. The undersigned acknowledges that no such attorneys-in-fact, in serving in such capacity at the request of the undersigned, is hereby assuming any of the undersigned's responsibilities to comply with Section 13 and Section 16 of the Securities Exchange Act of 1934 and the rules thereunder, as amended.

This Power of Attorney shall remain in full force and effect until revoked by the undersigned in a signed writing delivered to the foregoing attorneysin-fact.

[Signature Page Follows]

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 17 day of July, 2018.

/s/ Howard Kaufman Howard Kaufman

[Signature Page to Power of Attorney (Howard Kaufman)]