SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB APF	PROVAL									
OMB Number:	3235-0287									
Estimated average burden										
hours per response	e: 0.5									

X 10% Owner

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)

X Director

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).
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1. Name and Address of Reporting Person*

Omega Fund IV, L.P.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name **and** Ticker or Trading Symbol <u>Replimune Group, Inc.</u> [REPL]

				. Date of Earliest Transaction (Month/Day/Year) 1/08/2021							Officer (give title Other (specify below) below)								
(Street) BOSTOI (City)			2199 Zip))	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person						
		Table	I - N	lon-Deriva	tive	Sec	uritie	s Ao	cquir	ed, D	isposed c	of, or I	Benefic	cially	v Own	ed			
1. Title of Security (Instr. 3) Date (Month/Day/Yea				ear)	Execution Date,		3. Trans Code 8)	action (Instr.	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a		ed (A) or tr. 3, 4 and	und 5) Sec Ber Ow Rep			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
										V	Amount	(A) or (D)	Price		Transaction(s) (Instr. 3 and 4)				
Common Stock 11				11/08/202				S ⁽¹⁾		3,817	D	\$33.5			61,242		D ⁽⁴⁾		
Common	Stock			11/09/202					S ⁽¹⁾		7,537	D	\$33.73		· ·	53,705		D ⁽⁴⁾	
		Ta	ble I	I - Derivati (e.g., pu							sposed of, , converti				Owne	d			
1. Title of Derivative Security (Instr. 3)	1. Title of 2. 3. Transaction 3A. Deem Derivative Conversion Date Executior Security or Exercise (Month/Day/Year) if any		Deemed cution Date,	4. Transaction Code (Instr. 8)		n of r. Dei Sec Acc (A) Dis of (5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Date Ex Diration	ercisable and	7. Tit Amo Secu Unde Deriv	le and unt of irities erlying vative irity (Instr	8. Price of Derivative Security (Instr. 5)		9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Dat Exe	e ercisab	Expiratior le Date	Title	Amount or Number of Shares	r					
	nd Address of <u>Fund IV</u> ,	Reporting Person [*]	r																
(Last) 888 BOY		(First) TREET, SUITE	``	Middle)															
(Street) BOSTON MA 02199																			
(City)		(State)	(Zip)															
	nd Address of Fund IV	Reporting Person [*]																	
(Last) (First) (Middle) 888 BOYLSTON STREET, SUITE 1111																			
(Street) BOSTOI	Ň	MA	C)2199		_													
(City)		(State)	(Zip)		-													
		Reporting Person*		<u>td.</u>															
(Last) 888 BOY		(First) TREET, SUITE		Middle)															
(Street)						-													

BOSTON	MA	02199									
(City)	(State)	(Zip)									
1. Name and Address of Reporting Person* <u>Stampacchia Otello</u>											
(Last) 888 BOYLST((First) DN STREET, SU	(Middle) ITE 1111									
(Street) BOSTON	MA	02199									
(City)	(State)	(Zip)									

Explanation of Responses:

1. Shares were sold pursuant to a Rule 10b5-1 trading plan.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$33.50 to \$33.61 inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was affected.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$33.50 to \$34.02 inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was affected.

4. The reported securities are held directly by Omega Fund IV, L.P. ("Omega IV"). The reported securities may be deemed to be beneficially owned by each of Omega Fund IV GP, L.P. ("Omega IV GP"), as the general partner of Omega IV, and Omega Fund IV GP Manager. Ltd. ("Omega IV GP Manager"), as the general partner of Omega IV GP. Otello Stampacchia and Anne-Mari Paster are all the shareholders and directors of Omega IV GP Manager and have shared voting and investment power over the shares held by Omega IV. Each of Omega IV GP, Omega Fund IV GP Manager and the Omega Directors disclaims beneficial ownership of the securities reported herein, except to the extent of its or their pecuniary interest therein, if any. Otello Stampacchia is a director of the issuer.

Remarks:

<u>/s/ Anne-Mari Paster, as an</u>

authorized signatory of each 11/10/2021

Reporting Person

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.