SEC Form 4

П

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Omega Fund IV, L.P.				2. Issuer Name and Ticker or Trading Symbol <u>Replimune Group, Inc.</u> [REPL]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner Officer (give title Other (specify								
(Last) (First) (Middle) 888 BOYLSTON STREET, SUITE 1111					3. Date of Earliest Transaction (Month/Day/Year) 11/19/2021										below			below)	specny	
(Street)					4. If A	meno	dmen	it, Date	e of Or	iginal F	iled (Month	I/Da	ıy/Year)		6. Indi\ Line)	/idual o	r Joint/Grou	p Fili	ing (Check A	pplicable
BOSTON MA 02199						Form filed by One Reporting Person X Person														
(City)	(St	ate) (2	Zip)																	
		Table	I - Non-Der	iva	tive S	Secu	iritie	es Ao	cquir	ed, D	isposed	of	, or E	Benefi	cially	Own	ed			
1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Yea				ear) if any		Deemed ution Date, / th/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 a				d 5)	5. Amount of Securities Beneficially Owned Following Reported		Foi (D)	rm: Direct or Indirect (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code V		Amount	Int (A) or (D) Price		Price		Transa	action(s) 3 and 4)			. ,
Common	Stock		11/19/2	202	1				S ⁽¹⁾		34,845		D	\$34.5	4 ⁽¹⁾⁽²⁾	4,7	73,959		D ⁽⁵⁾	
Common	Stock		11/19/2	202	1				S ⁽¹⁾		417		D	\$34.8 ⁽¹⁾⁽³⁾		³⁾ 4,773,542		D ⁽⁵⁾		
Common	Stock		11/22/2	202	1				S ⁽¹⁾		10,162		D	\$34.7	34.7 ⁽¹⁾⁽⁴⁾		4,763,380		D ⁽⁵⁾	
		Tal	ble II - Deriv (e.g.,								sposed o , convei					Owne	d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	ion bate (Month/Day/Year) // Konth/Day/Year) // Konth/Day/Year) // Konth/Day/Year)		ransaction of Code (Instr. Derivat		rivativ curitie quired or sposec (D) str. 3, 4	Exp e (Mo s	piration	xercisable and n Date ay/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Der Sec (Ins	rice of ivative urity tr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	ly I	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Dat Exe	te ercisab	Expirat le Date	on	Title	Amoun or Numbe of Shares	r					
	nd Address of Fund IV	Reporting Person [*]	<u></u>											-	·					
(Last) 888 BOY		(First) TREET, SUITE	(Middle) 1111			-														
(Street) BOSTON	N	MA	02199			_														
(City)		(State)	(Zip)																	
	nd Address of Fund IV	f Reporting Person [*]																		
(Last) 888 BOY		(First) TREET, SUITE	(Middle) 1111																	
(Street) BOSTON	N	MA	02199			-														
(City)		(State)	(Zip)																	
		Reporting Person*																		
(Last) 888 BOY		(First) TREET, SUITE	(Middle) 1111																	

(Street) BOSTON	МА	02199
(City)	(State)	(Zip)
1. Name and Addro Stampacchia	ess of Reporting Pe <u>a Otello</u>	rson*
(Last) 888 BOYLSTC	(First) ON STREET, SU	(Middle) ITE 1111
(Street) BOSTON	MA	02199
(City)	(State)	(Zip)

Explanation of Responses:

1. Shares were sold pursuant to a Rule 10b5-1 trading plan.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$33.77 to \$34.76 inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was affected.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$34.80 to \$34.81 inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was affected.

4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$34.50 to \$35.01 inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was affected.

5. The reported securities are held directly by Omega Fund IV, L.P. ("Omega IV"). The reported securities may be deemed to be beneficially owned by each of Omega Fund IV GP, L.P. ("Omega IV GP"), as the general partner of Omega IV, and Omega Fund IV GP Manager, Ltd. ("Omega IV GP Manager"), as the general partner of Omega IV GP. Otello Stampacchia and Anne-Mari Paster are all the shareholders and directors of Omega IV GP Manager and have shared voting and investment power over the shares held by Omega IV. Each of Omega IV GP, Omega Fund IV GP Manager and the Omega Directors disclaims beneficial ownership of the securities reported herein, except to the extent of its or their pecuniary interest therein, if any. Otello Stampacchia is a director of the issuer.

<u>/s/ Anne-Mari Paster, as an</u> authorized signatory of each <u>1</u> <u>Reporting Person</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

